# Rules of Inference <br> Lecture 2 <br> Wednesday, September 25 

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# Today 

- Examples
- Bases
- Approximations
- Projective formulas


## Derivable and admissible

Dfn Given a set of rule schemes $\mathcal{R}, \vdash^{\mathcal{R}}$ is the smallest consequence relation that extends $\vdash$ and which rules contain $\operatorname{Ru}(\mathcal{R})$.
For a rule $R, \vdash^{\mathcal{R}}$ is short for $\vdash^{\mathcal{R}}$, where $\mathcal{R}$ consists of the rule scheme ( $R$, Sub), for Sub being the set of all substitutions.

Dfn $\Gamma / \Delta$ is derivable in $L$ iff $\Gamma \vdash_{\mathrm{L}} \Delta$.
Dfn $R=\Gamma / \Delta$ is admissible in $L\left(\Gamma \sim_{L} \Delta\right)$ iff $\operatorname{Thm}\left(\vdash_{\mathrm{L}}\right)=\operatorname{Thm}\left(\vdash_{\mathrm{L}}^{R}\right)$.
Thm For single-conclusion consequence relations:

$$
\Gamma \vdash_{\llcorner } A \text { iff for all substitutions } \sigma: \vdash_{\mathrm{L}} \bigwedge \sigma \Gamma \text { implies } \vdash_{\mathrm{L}} \sigma A \text {. }
$$

Thm For multi-conclusion c.r.'s with the disjunction property:
$\Gamma \sim_{\llcorner } \Delta$ iff for all substitutions $\sigma: \vdash_{\mathrm{L}} \bigwedge \sigma \Gamma$ implies $\vdash_{\mathrm{L}} \sigma A$ for some $A \in \Delta$.

## Examples

## Classical logic

Thm Classical propositional logic CPC is structurally complete, i.e. all admissible rules of $\vdash_{\text {CPC }}$ are (strongly) derivable.

## Intuitionistic logic

Thm The Harrop or Kreisel-Putnam Rule

$$
\frac{\neg A \rightarrow B \vee C}{(\neg A \rightarrow B) \vee(\neg A \rightarrow C)} \mathrm{HR}
$$

is admissible but not derivable in IPC, as

$$
(\neg A \rightarrow B \vee C) \rightarrow(\neg A \rightarrow B) \vee(\neg A \rightarrow C)
$$

is not derivable in IPC. The same holds for Heyting Arithmetic.
Thm (Prucnal '79) HR is admissible in any intermediate logic.
Thm The disjunctive Harrop Rule

$$
\frac{\{\neg A \rightarrow B \vee C\}}{\{(\neg A \rightarrow B),(\neg A \rightarrow C)\}} \mathrm{HR}
$$

is admissible in intermediate logics with the disjunction property.
Thm (Buss \& Mints \& Pudlak '01)
HR does not shorten proofs more than polynomially.

Thm (Prucnal '79) The Harrop or Kreisel-Putnam Rule

$$
\frac{\neg A \rightarrow B \vee C}{(\neg A \rightarrow B) \vee(\neg A \rightarrow C)} \mathrm{HR}
$$

is admissible in any intermediate logic.
Prf If $\vdash_{\mathrm{L}} \neg A \rightarrow B \vee C$, then $\vdash_{\mathrm{L}} \neg \sigma A \rightarrow \sigma B \vee \sigma C$, where $\sigma=\sigma_{I}{ }^{A}$ for some valuation $v_{I}$ that satisfies $\neg A$ (if $\neg A$ is inconsistent, the statement is trivial).
As $\vdash_{\mathrm{CPC}} \neg \sigma A$, also $\vdash_{\mathrm{L}} \neg \sigma A$ by Glivenko's theorem. Hence $\vdash_{\mathrm{L}} \sigma B \vee \sigma C$. Therefore $\vdash_{\mathrm{L}}(\neg A \rightarrow B) \vee(\neg A \rightarrow C)$.

Thm (Minari \& Wroński '88) For $A$ a Harrop formula, the rule

$$
\frac{A \rightarrow B \vee C}{(A \rightarrow B) \vee(A \rightarrow C)}
$$

is admissible in any intermediate logic.

## Decidability

## Decidability


Thm (Chagrov '92) There are decidable logics in which admissibility is undecidable.

Thm (Rybakov \& Odintsov \& Babenyshev '00's) Admissibility is decidable in many modal and temporal logics.

Thm (Jeřábek '07)
In IPC and many transitive modal logics admissibility is coNEXP-complete.

## Bases

Note If $A \sim_{\mathrm{L}} B$, then $A \wedge C \sim_{\mathrm{L}} B \wedge C$.
To describe all admissible rules of a logic the notion of basis is used.
Dfn $A$ set of rules $\mathcal{R}$ derives a rule $\Gamma / \Delta$ in $L$ iff $\Gamma \vdash_{L}^{\mathcal{R}} \Delta$.
Dfn $\mathcal{R}$ is a basis for the admissible rules of L iff the rules in $\mathcal{R}$ are admissible in $L$ and all admissible rules of $L$ are derivable from $\mathcal{R}$ in $L$ :

$$
\sim_{\mathrm{L}}=\vdash_{\mathrm{L}}^{\mathcal{R}} .
$$

Dfn A basis is independent if no proper subset of it is a basis. It is weakly independent if no finite subset of it is a basis.
Thm (Rybakov 80's)
There is no finite basis for the admissible rules of IPC.

## Sequents

In the description of bases it is convenient to use sequents instead of formulas.

Dfn $A$ sequent is of the form $\Gamma \Rightarrow \Delta$ where $\Gamma$ and $\Delta$ are finite sets of formulas. Its interpretation $I(\Gamma \Rightarrow \Delta)$ is $\Lambda \Gamma \rightarrow \bigvee \Delta$.
With a formula $A$ the sequent $\Rightarrow A$ is associated.
We sometimes write $\vdash S$ instead of $\vdash I(S)$.
For a set of sequents $\mathcal{S}, I(\mathcal{S})$ denotes $\bigwedge_{S \in \mathcal{S}} I(S)$.
Dfn An implication $A \rightarrow B$ is atomic if $A$ and $B$ are atoms. A sequent ( $\Gamma \Rightarrow \Delta$ ) is irreducible if $\Delta$ consists of atoms and $\Gamma$ of atoms and atomic implications.
An implicational formula $\wedge \Gamma \rightarrow \bigvee \Delta$ is irreducible if $\Gamma \Rightarrow \Delta$ is.

## Intuitionistic logic

In IPC:
Formulas $\quad A \vee B \sim\{A, B\} \quad \vee \Delta \sim \Delta$
Sequents $\quad \Rightarrow A, B \vdash\{\Rightarrow A, \Rightarrow B\} \Rightarrow \Delta \sim\{\Rightarrow D \mid D \in \Delta\}$
HR

$$
\neg A \Rightarrow \Delta \neg\{\neg A \Rightarrow D \mid D \in \Delta\}
$$

$$
A \rightarrow B \Rightarrow \Delta \vdash\{A \rightarrow B \Rightarrow D \mid D \in \Delta\} \cup\{A \rightarrow B \Rightarrow A\}
$$

Visser rules $\Gamma \Rightarrow \Delta ん\left\{\Gamma \Rightarrow D \mid D \in \Delta \cup \Gamma^{a}\right\} \quad$ ( $\Gamma$ implications only).
$\Gamma^{a}$ consists of the $A$ such that $(A \rightarrow B) \in \Gamma$ for some $B$.

Thm (lemhoff '01, Roziére '92)
The Visser rules are a basis for the multi-conclusion admissible rules of IPC.

## Intermediate logics

Dfn The single-conclusion Visser rules: ( $\Gamma$ implications only)

$$
(\bigwedge \Gamma \rightarrow \bigvee \Delta) \vee A / \bigvee\left\{\bigwedge \Gamma \rightarrow D \mid D \in \Delta \cup \Gamma^{a}\right\} \vee A
$$

Dfn Intermediate logics:

$$
\begin{array}{lll}
\mathrm{KC} & \neg A \vee \neg \neg A & \text { a maximal node } \\
\mathrm{LC} & (A \rightarrow B) \vee(B \rightarrow A) & \text { linear }
\end{array}
$$

## Thm (lemhoff '05)

The single-conclusion Visser rules are a basis for the admissible rules in any intermediate logic in which they are admissible.
Thm The single-conclusion Visser rules are a basis for the admissible rules of KC.

Thm The single-conclusion Visser rules are derivable in LC. Hence LC is structurally complete.
Thm (Goudsmit \& lemhoff '12) The ( $n+1$ )-th Visser rule is a basis for the $n$-th Gabbay-deJongh logic.

## Modal logics

Dfn Given a formula $A$ and set of atoms $I$, valuation $v_{l}$ and substitution $\sigma_{l}^{A}$ are defined as

$$
v_{l}(p) \equiv_{d f n}\left\{\begin{array} { l l } 
{ 1 } & { \text { if } p \in I } \\
{ 0 } & { \text { if } p \notin I }
\end{array} \quad \sigma _ { l } ^ { A } ( p ) \equiv _ { d f n } \left\{\begin{array}{ll}
A \rightarrow p & \text { if } p \in I \\
A \wedge p & \text { if } p \notin I .
\end{array}\right.\right.
$$

Thm If $S$ contains an atom, then for $I(S)=A, A \vdash B \Leftrightarrow A \vdash B$. Prf Choose an atom $p$ in $S$. Define $\sigma$ to be $\sigma_{\emptyset}^{A}$ if $p$ is in the antecedent of $S$, and $\sigma_{\{p\}}^{A}$ otherwise.
$\vdash \sigma A$ and $A \vdash \sigma(B) \leftrightarrow B$ for all $B$. Thus $A \vdash B$ implies $A \vdash B$.
Note In many modal logics, any nonderivable admissible rule formulated via sequents has to have a premiss that does not contain atoms.

## Modal logics

Dfn The modal Visser rules:

$$
\frac{\square \Gamma \Rightarrow \square \Delta}{\{『 \Gamma \Rightarrow D \mid D \in \Delta\}} \mathrm{V}^{\bullet} \quad \frac{\{\square \Gamma \equiv \Gamma \Rightarrow D \mid D \in \Delta\}}{\{\boxminus \Gamma \Rightarrow D \mid D \in \Delta\}} \mathrm{V}^{\circ}
$$

( $\square A$ denotes $A \wedge \square A$ and $\square \Gamma \equiv \Gamma$ denotes $\{A \leftrightarrow \square A \mid A \in \Gamma\}$.)
Thm (Jeřábek '05)
The irreflexive Visser rules are a basis in any extension of GL in which they are admissible. Similarly for the reflexive Visser rules and S4, and for their combination and K4.

Thm (Babenyshev \& Rybakov '10)
Explicit bases for temporal modal logics.

## Fragments

## Thm (Mints '76)

In IPC, all nonderivable admissible rules contain $\vee$ and $\rightarrow$.
Thm (Prucnal '83)
IPC $\rightarrow$ is structurally complete, as is IPC $\rightarrow, \wedge$.
Thm (Minari \& Wroński '88)
$\mathrm{IPC}_{\rightarrow, \neg, \wedge}$ is structurally complete.
Thm (Cintula \& Metcalfe '10)
IPC $_{\rightarrow, \neg}$ is not structurally complete. The Wroński rules are a basis for its admissible rules:

$$
\frac{\left(p_{1} \rightarrow\left(p_{2} \rightarrow \ldots\left(p_{n} \rightarrow \perp\right) \ldots\right)\right.}{\left\{\neg \neg p_{i} \rightarrow p_{i} \mid i=1, \ldots, n\right\}}
$$

## Substructural logics

Thm (Odintsov \& Rybakov '12)
Johanssons' minimal logic has finitary unification and admissibility is decidable.

Thm (Jeřábek '09)
The admissible rules of Łukasiewicz logic have no finite basis, but a nice infinite basis exists.

Approximations

## Method of proof

Thm In many intermediate and modal logics, there is for every formula $A$ a finite set of irreducible formulas $\Pi_{A}$ such that

$$
\bigvee \Pi_{A} \vdash A \vdash \Pi_{A},
$$

and for all $B \in \Pi_{A}$ and all $C, B \vdash C \Leftrightarrow B \vdash C$.
Cor If also $A \vdash^{\mathcal{R}} \Pi_{A}$ for some set of admissible rules $\mathcal{R}$, then $\mathcal{R}$ is a basis. Prf $A \nsim C$ implies that $B \vdash C$ for all $B \in \Pi_{A}$. Hence $A \vdash^{\mathcal{R}} C$.

Dfn $\Pi_{A}$ is an (irreducible) projective approximation of $A$.

## Irreducible approximations

Dfn $A \Vdash B$ if there is a $\sigma$ which is the identity on the atoms in $A$ such that $A \vdash \sigma B$. $A \nvdash B$ if every unifier of $A$ can be extended to a unifier of $B$.

Thm Given a sequent $S$ there is a set $\mathcal{G}$ of irreducible sequents such that

$$
I(S) \Vdash \bigwedge I(\mathcal{G}) \vdash I(S)
$$

Prf (I) Apply the invertible logical rules of LJ as long as possible:
For example, $\Gamma, A \wedge B \Rightarrow \Delta$ is replaced by $\Gamma, A, B \Rightarrow \Delta$.
(II) Introduce atoms for the composite formulas in $S$ :

For example, $\Gamma, A \rightarrow B \Rightarrow \Delta$ is replaced by

$$
(\Gamma, p \rightarrow q \Rightarrow \Delta)(p \Rightarrow A)(B \Rightarrow q)
$$

Apply (I) and (II) as long as possible.

## Valuations and substitutions

Dfn Given a formula $A$ and set of atoms $I$, valuation $v_{I}$ and substitution $\sigma_{l}^{A}$ are defined as

$$
v_{l}(p) \equiv{ }_{d f n}\left\{\begin{array} { l l } 
{ 1 } & { \text { if } p \in I } \\
{ 0 } & { \text { if } p \notin I }
\end{array} \quad \sigma _ { I } ^ { A } ( p ) \equiv d f n \left\{\begin{array}{ll}
A \rightarrow p & \text { if } p \in I \\
A \wedge p & \text { if } p \notin I
\end{array}\right.\right.
$$

Note $A \vdash \sigma_{I}^{A}(B) \leftrightarrow B$ for all $B$ and $I$.
Note If $\vdash \sigma_{I}^{A}(A)$, then $A \sim B \Leftrightarrow A \vdash B$ for all $B$.

## Projective formulas

Dfn (Ghilardi) A formula $A$ is projective in L if for some substitution $\sigma$ and all atoms $p$ :

$$
\vdash_{\llcorner } \sigma A \quad A \vdash_{\llcorner } p \leftrightarrow \sigma(p) .
$$

$\sigma$ is the projective unifier ( pu ) of $A$.
Thm If $A$ is projective and $\vdash$ has the disjunction property, then for all $\Delta$ :

$$
A \vdash_{\llcorner } \Delta \Leftrightarrow \exists B \in \Delta A \vdash_{\llcorner } B .
$$

Cor If all unifiable formulas are projective in L , then all nonpassive rules are derivable.
Ex For $I=\{p\}, \sigma_{l}^{p}$ is a pu of $p$. For $I=\emptyset, \sigma_{l}^{\neg p}$ is a pu of $\neg p$.

## Intermezzo: the extension property

Dfn $\sum K_{i}$ denotes the disjoint union of the models $K_{1}, \ldots, K_{n}$.
Dfn $K^{\prime}$ denotes the extension of model $K$ with one node at which no atoms are forced and that is below all nodes in $K$.

Dfn Two rooted models on the same frame are variants of each other when their valuation differs at most at the root.
Dfn A class of Kripke models $\mathcal{K}$ has the extension property (EP) if for all $K_{1}, \ldots, K_{n} \in \mathcal{K}$ there is a variant of $\left(\sum K_{i}\right)^{\prime}$ in $\mathcal{K}$.

Dfn $A$ formula $A$ has the extension property if it is complete with respect to a class of models with the extension property.
Thm (Ghilardi) In IPC, $A$ is projective iff $A$ has EP.
Ex In IPC, $p$ and $\neg p$ are projective and $p \vee q$ is not.
Similar techniques apply to modal logics.

## Method of proof

Thm If there is a set of admissible rules $\mathcal{R}$ such that for every formula $A$ there is a finite set of projective formulas $\Pi_{A}$ such that

$$
\bigvee \Pi_{A} \vdash_{L} A \vdash_{L}^{\mathcal{R}} \Pi_{A},
$$

then $\mathcal{R}$ is a basis for the admissible rules of L .
Thm In the following logics there exists for every formula $A$ a finite set of projective formulas $\Pi_{A}$ such that

- in IPC: $\bigvee \Pi_{A} \vdash A \vdash^{\vee} \Pi_{A}$;
- in S4: $\bigvee \Pi_{A} \vdash A \vdash V^{\circ} \Pi_{A}$;
- in GL: $\bigvee \Pi_{A} \vdash A \vdash \vdash^{\bullet} \Pi_{A}$;
- in $\mathrm{CPC}_{\neg, \rightarrow:}$ V $\Pi_{A} \vdash A \vdash^{\mathrm{W}} \Pi_{A}$;
- ...
(Jerábek) In $Ł$ : similar but the formulas are not projective.
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