Rules of Inference

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Rosalie lemhoff Utrecht University, The Netherlands

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### Today

- Examples
- Bases
- Approximations
- Projective formulas

#### Derivable and admissible

Dfn Given a set of rule schemes  $\mathcal{R}$ ,  $\vdash^{\mathcal{R}}$  is the smallest consequence relation that extends  $\vdash$  and which rules contain Ru( $\mathcal{R}$ ).

For a rule R,  $\vdash^R$  is short for  $\vdash^R$ , where  $\mathcal{R}$  consists of the rule scheme (R, Sub), for Sub being the set of all substitutions.

Dfn  $\Gamma/\Delta$  is *derivable* in L iff  $\Gamma \vdash_{\mathsf{L}} \Delta$ .

Dfn  $R = \Gamma/\Delta$  is admissible in L ( $\Gamma \vdash_L \Delta$ ) iff Thm( $\vdash_L$ ) = Thm( $\vdash_L^R$ ). Thm For single-conclusion consequence relations:

 $\Gamma \vdash_{\mathsf{L}} A$  iff for all substitutions  $\sigma: \vdash_{\mathsf{L}} \bigwedge \sigma \Gamma$  implies  $\vdash_{\mathsf{L}} \sigma A$ .

Thm For multi-conclusion c.r.'s with the disjunction property:

 $\Gamma \vdash_{\mathsf{L}} \Delta$  iff for all substitutions  $\sigma \colon \vdash_{\mathsf{L}} \bigwedge \sigma \Gamma$  implies  $\vdash_{\mathsf{L}} \sigma A$  for some  $A \in \Delta$ .

# Examples

### **Classical** logic

Thm Classical propositional logic CPC is structurally complete, i.e. all admissible rules of  $\vdash_{CPC}$  are (strongly) derivable.

#### Intuitionistic logic

Thm The Harrop or Kreisel-Putnam Rule

$$\frac{\neg A \to B \lor C}{(\neg A \to B) \lor (\neg A \to C)}$$
 HR

is admissible but not derivable in IPC, as

$$(\neg A \rightarrow B \lor C) \rightarrow (\neg A \rightarrow B) \lor (\neg A \rightarrow C)$$

is not derivable in IPC. The same holds for Heyting Arithmetic. Thm (Prucnal '79) HR is admissible in any intermediate logic. Thm The *disjunctive Harrop Rule* 

$$\frac{\{\neg A \to B \lor C\}}{\{(\neg A \to B), (\neg A \to C)\}}$$
 HR

is admissible in intermediate logics with the disjunction property.

Thm (Buss & Mints & Pudlak '01) HR does not shorten proofs more than polynomially.

#### Intuitionistic logic

Thm (Prucnal '79) The Harrop or Kreisel-Putnam Rule

$$\frac{\neg A \to B \lor C}{(\neg A \to B) \lor (\neg A \to C)}$$
 HR

is admissible in any intermediate logic.

Prf If  $\vdash_{L} \neg A \rightarrow B \lor C$ , then  $\vdash_{L} \neg \sigma A \rightarrow \sigma B \lor \sigma C$ , where  $\sigma = \sigma_{I}^{\neg A}$  for some valuation  $v_{I}$  that satisfies  $\neg A$  (if  $\neg A$  is inconsistent, the statement is trivial).

As  $\vdash_{CPC} \neg \sigma A$ , also  $\vdash_{L} \neg \sigma A$  by Glivenko's theorem. Hence  $\vdash_{L} \sigma B \lor \sigma C$ . Therefore  $\vdash_{L} (\neg A \rightarrow B) \lor (\neg A \rightarrow C)$ .

Thm (Minari & Wroński '88) For A a Harrop formula, the rule

$$\frac{A \to B \lor C}{(A \to B) \lor (A \to C)}$$

is admissible in any intermediate logic.

# Decidability

### Decidability

Thm (Rybakov '80's)  $\succ_{IPC}$ ,  $\succ_{K4}$ ,  $\succ_{S4}$ ,  $\succ_{GL}$  are decidable.

Thm (Chagrov '92) There are decidable logics in which admissibility is undecidable.

Thm (Rybakov & Odintsov & Babenyshev '00's) Admissibility is decidable in many modal and temporal logics.

## Thm (Jeřábek '07)

In IPC and many transitive modal logics admissibility is coNEXP-complete.

## Bases

#### Bases

*Note* If  $A \sim B$ , then  $A \wedge C \sim B \wedge C$ .

To describe all admissible rules of a logic the notion of basis is used.

Dfn A set of rules  $\mathcal{R}$  derives a rule  $\Gamma/\Delta$  in L iff  $\Gamma \vdash_{\mathsf{L}}^{\mathcal{R}} \Delta$ .

Dfn  $\mathcal{R}$  is a *basis* for the admissible rules of L iff the rules in  $\mathcal{R}$  are admissible in L and all admissible rules of L are derivable from  $\mathcal{R}$  in L:

$$\succ_{\mathsf{L}} = \vdash_{\mathsf{L}}^{\mathcal{R}}$$
.

Dfn A basis is *independent* if no proper subset of it is a basis. It is *weakly independent* if no finite subset of it is a basis.

Thm (Rybakov 80's)

There is no finite basis for the admissible rules of IPC.

#### Sequents

In the description of bases it is convenient to use sequents instead of formulas.

Dfn A sequent is of the form  $\Gamma \Rightarrow \Delta$  where  $\Gamma$  and  $\Delta$  are finite sets of formulas. Its interpretation  $I(\Gamma \Rightarrow \Delta)$  is  $\bigwedge \Gamma \rightarrow \bigvee \Delta$ .

With a formula A the sequent  $\Rightarrow$  A is associated.

We sometimes write  $\vdash S$  instead of  $\vdash I(S)$ .

For a set of sequents S, I(S) denotes  $\bigwedge_{S \in S} I(S)$ .

Dfn An implication  $A \rightarrow B$  is *atomic* if A and B are atoms. A sequent  $(\Gamma \Rightarrow \Delta)$  is *irreducible* if  $\Delta$  consists of atoms and  $\Gamma$  of atoms and atomic implications.

An implicational formula  $\bigwedge \Gamma \to \bigvee \Delta$  is *irreducible* if  $\Gamma \Rightarrow \Delta$  is.

### Intuitionistic logic

### In IPC:

Formulas	$A \lor B \vdash \{A, B\}$	$\bigvee \Delta \vdash \Delta$
Sequents	$\Rightarrow A, B \vdash \{ \Rightarrow A, \Rightarrow B \}$	$\Rightarrow \Delta \vdash \{ \Rightarrow D \mid D \in \Delta \}$
HR	$ eg A \Rightarrow \Delta \sim \{ \neg A \Rightarrow D \mid D \in \Delta \}$	
	$A \to B \Rightarrow \Delta \vdash \{A \to B\}$	$\Rightarrow D \mid D \in \Delta\} \cup \{A \to B \Rightarrow A\}$
Visser rules	$\Gamma \Rightarrow \Delta \sim \{\Gamma \Rightarrow D \mid D \in$	$\Delta \cup \Gamma^a \} \ (\Gamma \ { m implications \ only}).$
$\Gamma^a$ consists of the A such that $(A \rightarrow B) \in \Gamma$ for some B.		

#### Thm (lemhoff '01, Roziére '92)

The Visser rules are a basis for the multi-conclusion admissible rules of IPC.

#### Intermediate logics

Dfn The single-conclusion Visser rules: (Γ implications only)

$$(\bigwedge \Gamma \to \bigvee \Delta) \lor A / \bigvee \{\bigwedge \Gamma \to D \mid D \in \Delta \cup \Gamma^a\} \lor A.$$

Dfn Intermediate logics:

 $\begin{array}{ll} \mathsf{KC} & \neg A \lor \neg \neg A & \text{a maximal node} \\ \mathsf{LC} & (A \to B) \lor (B \to A) & \text{linear} \end{array}$ 

#### Thm (lemhoff '05)

The single-conclusion Visser rules are a basis for the admissible rules in any intermediate logic in which they are admissible.

Thm The single-conclusion Visser rules are a basis for the admissible rules of KC.

Thm The single-conclusion Visser rules are derivable in LC. Hence LC is structurally complete.

Thm (Goudsmit & lemhoff '12) The (n + 1)-th Visser rule is a basis for the *n*-th Gabbay-deJongh logic.

#### Modal logics

Dfn Given a formula A and set of atoms I, valuation  $v_I$  and substitution  $\sigma_I^A$  are defined as

$$v_{I}(p) \equiv_{dfn} \begin{cases} 1 & \text{if } p \in I \\ 0 & \text{if } p \notin I \end{cases} \quad \sigma_{I}^{A}(p) \equiv_{dfn} \begin{cases} A \to p & \text{if } p \in I \\ A \land p & \text{if } p \notin I \end{cases}$$

Thm If S contains an atom, then for I(S) = A,  $A \vdash B \Leftrightarrow A \vdash B$ .

Prf Choose an atom p in S. Define  $\sigma$  to be  $\sigma_{\emptyset}^{A}$  if p is in the antecedent of S, and  $\sigma_{\{p\}}^{A}$  otherwise.

 $\vdash \sigma A \text{ and } A \vdash \sigma(B) \leftrightarrow B \text{ for all } B. \text{ Thus } A \vdash B \text{ implies } A \vdash B. \square.$ 

*Note* In many modal logics, any nonderivable admissible rule formulated via sequents has to have a premiss that does not contain atoms.

#### Modal logics

Dfn The modal Visser rules:

$$\frac{\Box \Gamma \Rightarrow \Box \Delta}{\{\boxdot \Gamma \Rightarrow D \mid D \in \Delta\}} \lor \bullet \quad \frac{\{\Box \Gamma \equiv \Gamma \Rightarrow D \mid D \in \Delta\}}{\{\boxdot \Gamma \Rightarrow D \mid D \in \Delta\}} \lor \bullet$$

 $(\Box A \text{ denotes } A \land \Box A \text{ and } \Box \Gamma \equiv \Gamma \text{ denotes } \{A \leftrightarrow \Box A \mid A \in \Gamma\}.)$ 

### Thm (Jeřábek '05)

The irreflexive Visser rules are a basis in any extension of GL in which they are admissible. Similarly for the reflexive Visser rules and S4, and for their combination and K4.

### Thm (Babenyshev & Rybakov '10) Explicit bases for temporal modal logics.

### Fragments

Thm (Mints '76) In IPC, all nonderivable admissible rules contain  $\lor$  and  $\rightarrow$ .

Thm (Prucnal '83) IPC $\rightarrow$  is structurally complete, as is IPC $\rightarrow,\wedge$ .

Thm (Minari & Wroński '88) IPC $_{\rightarrow,\neg,\wedge}$  is structurally complete.

## Thm (Cintula & Metcalfe '10)

 $IPC_{\rightarrow,\neg}$  is not structurally complete. The Wroński rules are a basis for its admissible rules:

$$\frac{(p_1 \to (p_2 \to \dots (p_n \to \bot) \dots)}{\{\neg \neg p_i \to p_i \mid i = 1, \dots, n\}}$$

Substructural logics

## Thm (Odintsov & Rybakov '12)

Johanssons' minimal logic has finitary unification and admissibility is decidable.

## Thm (Jeřábek '09)

The admissible rules of Łukasiewicz logic have no finite basis, but a nice infinite basis exists.

Approximations

#### Method of proof

Thm In many intermediate and modal logics, there is for every formula A a finite set of irreducible formulas  $\Pi_A$  such that

$$\bigvee \Pi_{\mathcal{A}} \vdash \mathcal{A} \vdash \Pi_{\mathcal{A}},$$

and for all  $B \in \Pi_A$  and all C,  $B \vdash C \Leftrightarrow B \vdash C$ .

Cor If also  $A \vdash^{\mathcal{R}} \Pi_A$  for some set of admissible rules  $\mathcal{R}$ , then  $\mathcal{R}$  is a basis. Prf  $A \vdash^{\mathcal{R}} C$  implies that  $B \vdash^{\mathcal{C}} C$  for all  $B \in \Pi_A$ . Hence  $A \vdash^{\mathcal{R}} C$ .

Dfn  $\Pi_A$  is an *(irreducible)* projective approximation of A.

Dfn  $A \Vdash B$  if there is a  $\sigma$  which is the identity on the atoms in A such that  $A \vdash \sigma B$ .  $A \nvDash B$  if every unifier of A can be extended to a unifier of B.

Thm Given a sequent S there is a set G of irreducible sequents such that

$$I(S) \vdash \bigwedge I(\mathcal{G}) \vdash I(S).$$

Prf (I) Apply the invertible logical rules of LJ as long as possible: For example,  $\Gamma, A \land B \Rightarrow \Delta$  is replaced by  $\Gamma, A, B \Rightarrow \Delta$ . (II) Introduce atoms for the composite formulas in *S*: For example,  $\Gamma, A \rightarrow B \Rightarrow \Delta$  is replaced by

$$(\Gamma, p \rightarrow q \Rightarrow \Delta) \ (p \Rightarrow A) \ (B \Rightarrow q).$$

Apply (I) and (II) as long as possible.

#### Valuations and substitutions

Dfn Given a formula A and set of atoms I, valuation  $v_I$  and substitution  $\sigma_I^A$  are defined as

$$v_{I}(p) \equiv_{dfn} \begin{cases} 1 & \text{if } p \in I \\ 0 & \text{if } p \notin I \end{cases} \quad \sigma_{I}^{A}(p) \equiv_{dfn} \begin{cases} A \to p & \text{if } p \in I \\ A \land p & \text{if } p \notin I \end{cases}$$

*Note*  $A \vdash \sigma_I^A(B) \leftrightarrow B$  for all B and I. *Note* If  $\vdash \sigma_I^A(A)$ , then  $A \vdash B \Leftrightarrow A \vdash B$  for all B.

#### Projective formulas

Dfn (Ghilardi) A formula A is projective in L if for some substitution  $\sigma$  and all atoms p:

$$\vdash_{\mathsf{L}} \sigma A \quad A \vdash_{\mathsf{L}} p \leftrightarrow \sigma(p).$$

 $\sigma$  is the projective unifier (pu) of A.

Thm If A is projective and  $\vdash$  has the disjunction property, then for all  $\Delta$ :

$$A \vdash_{\mathsf{L}} \Delta \Leftrightarrow \exists B \in \Delta A \vdash_{\mathsf{L}} B.$$

Cor If all unifiable formulas are projective in L, then all nonpassive rules are derivable.

Ex For 
$$I = \{p\}$$
,  $\sigma_I^p$  is a pu of  $p$ . For  $I = \emptyset$ ,  $\sigma_I^{\neg p}$  is a pu of  $\neg p$ .

#### Intermezzo: the extension property

Dfn  $\sum K_i$  denotes the disjoint union of the models  $K_1, \ldots, K_n$ .

Dfn K' denotes the extension of model K with one node at which no atoms are forced and that is below all nodes in K.

Dfn Two rooted models on the same frame are *variants* of each other when their valuation differs at most at the root.

Dfn A class of Kripke models  $\mathcal{K}$  has the *extension property (EP)* if for all  $K_1, \ldots, K_n \in \mathcal{K}$  there is a variant of  $(\sum K_i)'$  in  $\mathcal{K}$ .

Dfn A formula A has the *extension property* if it is complete with respect to a class of models with the extension property.

Thm (Ghilardi) In IPC, A is projective iff A has EP.

Ex In IPC, p and  $\neg p$  are projective and  $p \lor q$  is not.

Similar techniques apply to modal logics.

### Method of proof

Thm If there is a set of admissible rules  $\mathcal{R}$  such that for every formula A there is a finite set of projective formulas  $\Pi_A$  such that

$$\bigvee \Pi_A \vdash_{\mathsf{L}} A \vdash^{\mathcal{R}}_{\mathsf{L}} \Pi_A,$$

then  $\mathcal{R}$  is a basis for the admissible rules of L.

Thm In the following logics there exists for every formula A a finite set of projective formulas  $\Pi_A$  such that

• in IPC: 
$$\bigvee \Pi_A \vdash A \vdash^{\vee} \Pi_A$$
;

• in S4: 
$$\bigvee \Pi_A \vdash A \vdash^{V^\circ} \Pi_A$$
;

• in GL: 
$$\bigvee \Pi_A \vdash A \vdash^{\vee} \Pi_A$$
;

• in 
$$CPC_{\neg,\rightarrow}$$
:  $\bigvee \Pi_A \vdash A \vdash^W \Pi_A$ ;

o ...

(Jeřábek) In Ł: similar but the formulas are not projective.

