

Geometric Ideas in the Design of Efficient and Natural Proof Systems

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Joint work with

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24 September 2013

*This talk is available at <http://cs.bath.ac.uk/ag/t/GIDENPS.pdf>
Deep inference web site: <http://alessio.guglielmi.name/res/cos/>*

Outline

Problem: Getting rid of bureaucracy in proofs

Open Deduction (Deep Inference): locality (atomicity + linearity)

Deep Inference and Proof Complexity: proofs are small, so it is OK

Atomic Flows: locality brings geometry

Cut Elimination by Experiments: Gentzen's structure is too rigid

Normalisation with Atomic Flows: geometry is enough to normalise

Substitution: more geometry, more efficiency, more naturality

Problem: getting rid of bureaucracy in proofs

$$\begin{array}{c}
 \text{id} \frac{}{\vdash a^\perp, a} \quad \text{id} \frac{}{\vdash a, a^\perp} \\
 \otimes \frac{}{\vdash a^\perp, a \otimes a, a^\perp} \\
 \wp \frac{}{\vdash a^\perp \wp (a \otimes a), a^\perp} \quad \text{id} \frac{}{\vdash a^\perp, a} \\
 \text{exch} \frac{}{\vdash a^\perp \wp (a \otimes a), a^\perp \otimes a^\perp, a} \\
 \wp \frac{}{\vdash a^\perp \wp (a \otimes a), a, a^\perp \otimes a^\perp} \\
 \wp \frac{}{\vdash a^\perp \wp (a \otimes a), a \wp (a^\perp \otimes a^\perp)}
 \end{array}$$

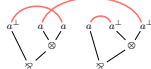
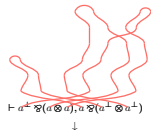
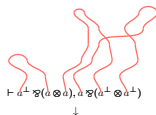
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 \otimes \frac{}{\vdash a^\perp, a \otimes a, a \wp (a^\perp \otimes a^\perp)} \\
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 \otimes \frac{}{\vdash a, a^\perp \otimes a^\perp, a^\perp \wp (a \otimes a)} \\
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 \otimes \frac{}{\vdash a^\perp, a \otimes a, a^\perp} \\
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 \otimes \frac{}{\vdash a^\perp, a \otimes a^\perp, a} \\
 \text{exch} \frac{}{\vdash a^\perp, a \otimes a^\perp \otimes a^\perp} \\
 \wp \frac{}{\vdash a^\perp, a \wp (a^\perp \otimes a^\perp)} \\
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 \text{exch} \frac{}{\vdash a^\perp \wp (a \otimes a), a \wp (a^\perp \otimes a^\perp)}
 \end{array}$$



Picture taken from [Straßburger, 2006]

- ▶ From 'different' Gentzen sequent proofs we get **proof nets** (Girard),
- ▶ but they are too small: for propositional logic, they probably do not form a proof system.

Proof Systems

- ▶ **Proof system** = algorithm checking proofs in polytime.
- ▶ Theorem (Cook and Reckhow):

$$\exists \text{ *super* proof system}$$

iff

$$\text{NP} = \text{co-NP}$$

where

super = with polysize proofs over each proved tautology

(Proof) System SKS

[Brünnler and Tiu, 2001]

- ▶ **Atomic** rules:

$\text{ai} \downarrow \frac{t}{a \vee \bar{a}}$	$\text{aw} \downarrow \frac{f}{a}$	$\text{ac} \downarrow \frac{a \vee a}{a}$
<i>identity</i>	<i>weakening</i>	<i>contraction</i>
$\text{ai} \uparrow \frac{a \wedge \bar{a}}{f}$	$\text{aw} \uparrow \frac{a}{t}$	$\text{ac} \uparrow \frac{a}{a \wedge a}$
<i>cut</i>	<i>coweakening</i>	<i>cocontraction</i>

- ▶ **Linear** rules:

$\text{s} \frac{A \wedge [B \vee C]}{(A \wedge B) \vee C}$	$\text{m} \frac{(A \wedge B) \vee (C \wedge D)}{[A \vee C] \wedge [B \vee D]}$
<i>switch</i>	<i>medial</i>

- ▶ Plus an '=' linear rule (associativity, commutativity, units).
- ▶ Negation on atoms only.
- ▶ Cut is atomic.
- ▶ SKS is **complete** for propositional logic.

Examples in Open Deduction (Deep Inference)

$$\blacktriangleright \frac{\frac{\frac{a}{a \wedge a} \vee \frac{b}{b \wedge b}}{[a \vee b] \wedge [a \vee b]} \wedge \frac{a}{a \wedge a}}{m \frac{[a \vee b] \wedge [a \vee b]}{[a \vee b] \wedge [a \vee b]}}$$

$$\blacktriangleright \frac{\frac{\frac{t}{a \vee \bar{a}}}{m \frac{[a \vee t] \wedge [t \vee \bar{a}]}{[a \vee t] \wedge [t \vee \bar{a}]}}{s \frac{[a \vee t] \wedge \bar{a}}{a \wedge \bar{a}} \vee t}{s \frac{[a \vee t] \wedge \bar{a}}{a \wedge \bar{a}} \vee t}}{s \frac{[a \vee t] \wedge \bar{a}}{a \wedge \bar{a}} \vee t}$$

Proofs are **composed by the same operators** as formulae.

Top-down symmetry: so inference steps can be made atomic (the medial rule, m, is impossible in Gentzen).

(In [Guglielmi et al., 2010a].)

Locality

Deep inference allows **locality**,

i.e.,

inference steps can be **checked in constant time**
(so, they are small).

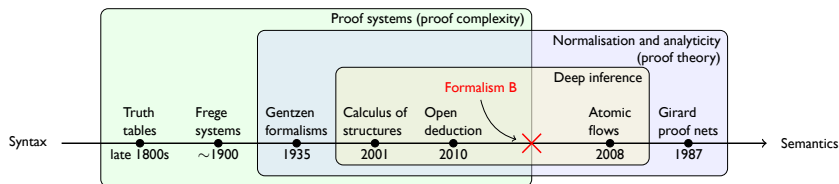
E.g., atomic cocontraction:

$$\frac{\frac{a}{a \wedge a} \vee \frac{b}{b \wedge b}}{[a \vee b] \wedge [a \vee b]} \wedge \frac{a}{a \wedge a}$$

In Gentzen:

- ▶ no locality for (co)contraction (counterexample in [Brünnler, 2004]),
- ▶ no local reduction of cut into atomic form.

Overview and Slogans



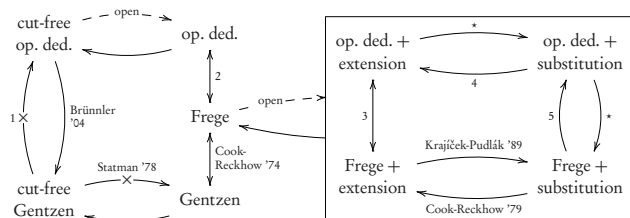
Deep inference = locality (+ symmetry).

Locality = linearity + atomicity.

Geometry = syntax independence (elimination of bureaucracy).

Locality \rightarrow geometry \rightarrow **semantics of proofs**.

Deep Inference and Proof Complexity



\longrightarrow = 'polynomially simulates'.

Open deduction has **as small proofs as the best formalisms**
and

it has a normalisation theory

and

its cut-free proof systems are more powerful than Gentzen ones

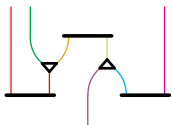
and

cut elimination is quasipolynomial (instead of exponential).

(See [Jeřábek, 2009, Bruscoli and Guglielmi, 2009, Bruscoli et al., 2010]).

Atomic Flows

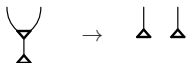
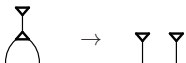
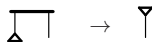
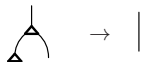
$$\begin{array}{c}
 \frac{t}{a \vee \bar{a}} \\
 \text{m} \frac{\quad}{[a \vee t] \wedge [t \vee \bar{a}]} \\
 \text{s} \frac{\quad}{\left[\frac{[a \vee t] \wedge \bar{a}}{\frac{a \wedge \bar{a}}{f} \vee t} \vee t \right]}
 \end{array}
 = \left(\begin{array}{c}
 \frac{a \wedge \left[\frac{\bar{a} \vee \frac{t}{\bar{a} \vee a}}{\quad} \right]}{\text{s} \frac{\quad}{\quad}} \\
 \frac{a \wedge \frac{\bar{a} \vee \bar{a}}{\bar{a}} \vee \frac{a}{a \wedge a}}{\text{f} \frac{\quad}{\quad}} \wedge \bar{a} \\
 \frac{a \wedge \frac{a \wedge \bar{a}}{f}}{\quad}
 \end{array} \right)
 \quad \wedge \quad
 \frac{\frac{a}{a \wedge a} \vee \frac{b}{b \wedge b}}{\text{m} \frac{\quad}{[a \vee b] \wedge [a \vee b]}} \wedge \frac{a}{a \wedge a}$$



Below proofs, their (atomic) flows are shown:



- ▶ only **structural** information is retained in flows;
- ▶ logical information is **lost**;
- ▶ flow size is **polynomially related** to derivation size.

Flow Reductions: (Co)Weakening (I)



Each flow reduction corresponds to a **correct** proof reduction.

Flow Reductions: (Co)Weakening (2)

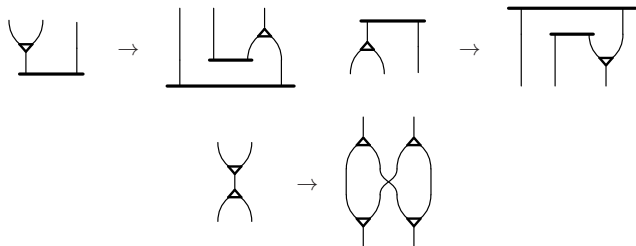
E.g.,  \rightarrow  specifies that

$$\begin{array}{c}
 \Pi'' \parallel \\
 \xi \left\{ \frac{t}{a^\epsilon \vee \bar{a}} \right\} \\
 \Phi \parallel \\
 \zeta \left\{ \frac{a^\epsilon}{t} \right\} \\
 \Psi \parallel \\
 \alpha
 \end{array}
 \quad \text{becomes} \quad
 \begin{array}{c}
 \Pi'' \parallel \\
 \xi \left[t \vee \frac{f}{\bar{a}} \right] \\
 \Phi_{\{a^\epsilon/t\}} \parallel \\
 \zeta \{t\} \\
 \Psi \parallel \\
 \alpha
 \end{array}$$

We can operate on flow reductions instead than on derivations:

- ▶ much easier,
- ▶ we get **natural, syntax-independent induction measures.**

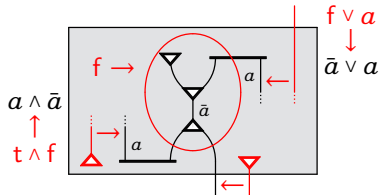
Flow Reductions: (Co)Contraction



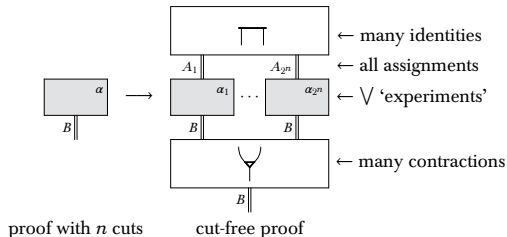
- ▶ These reductions conserve the **number and length of paths**.
- ▶ Open problem: **does cocontraction yield exponential compression?**

Cut Elimination by 'Experiments'

Experiment
over a proof:



We do:



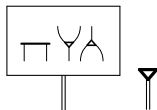
- ▶ Simple, exponential cut elimination;
- ▶ 2^n experiments, where n is the number of atoms;
- ▶ fairly syntax independent method.

The secret of success is in the **proof composition** mechanism.

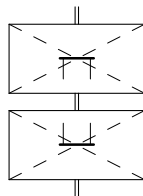
WHY IS THIS IMPOSSIBLE IN THE SEQUENT CALCULUS?

Generalising the Cut-Free Form

- ▶ Normalised proof:



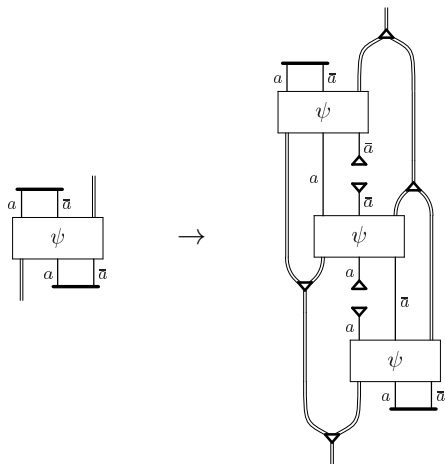
- ▶ Normalised derivation:



- ▶ The symmetric form is called **streamlined**.
- ▶ Cut elimination is a **corollary** of streamlining.
- ▶ We just need to **break the paths** between identities and cuts, and (co)weakenings do the rest.

How Do We Break Paths?

With the **path breaker** [Guglielmi et al., 2010b]:



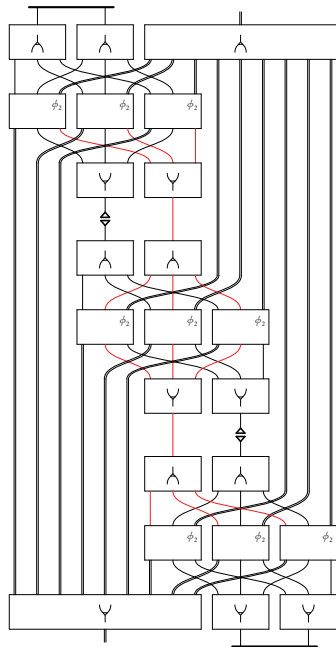
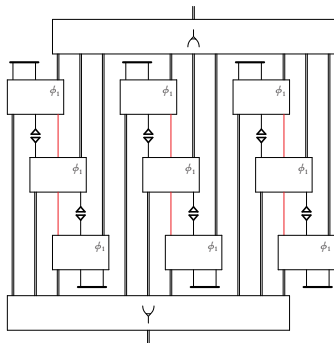
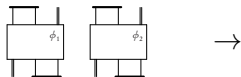
Even if there is a path between identity and cut on the left, there is none on the right.

We Can Do This on Derivations, of Course

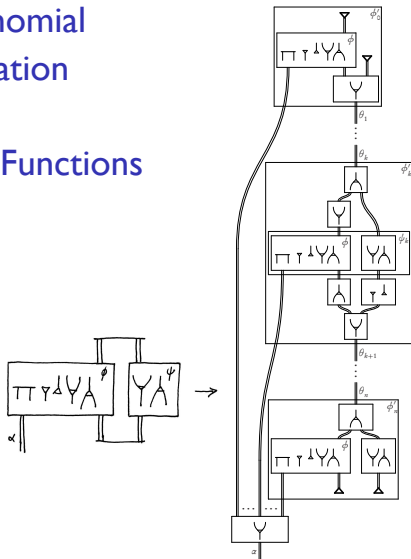
$$\begin{array}{c}
 A \\
 \hline
 [a \vee \bar{a}] \wedge A \\
 \Psi \\
 B \vee (a \wedge \bar{a}) \\
 \hline
 B
 \end{array}
 \quad \rightarrow \quad
 \begin{array}{c}
 A \\
 \parallel \{\text{c}\uparrow, \text{a}\downarrow, =\} \\
 (([a \vee \bar{a}] \wedge A) \wedge A) \wedge A \\
 (\Psi \wedge A) \wedge A \\
 \parallel \\
 ([B \vee (a \wedge \bar{a})] \wedge A) \wedge A \\
 \Phi_a \wedge A \\
 \parallel \\
 [B \vee ([a \vee \bar{a}] \wedge A)] \wedge A \\
 [B \vee \Psi] \wedge A \\
 \parallel \\
 B \vee ([B \vee (a \wedge \bar{a})] \wedge A) \\
 B \vee \Phi_a \\
 \parallel \\
 B \vee [B \vee ([a \vee \bar{a}] \wedge A)] \\
 B \vee [B \vee \Psi] \\
 \parallel \\
 B \vee [B \vee [B \vee (a \wedge \bar{a})]] \\
 \parallel \{\text{c}\downarrow, \text{a}\uparrow, =\} \\
 B
 \end{array}$$

- ▶ We can compose this as many times as there are paths between identities and cut.
- ▶ We obtain a family of **normalisers** that only depends on n .
- ▶ The construction is exponential.
- ▶ Finding something like this is **unthinkable without flows**.

Example for $n = 2$

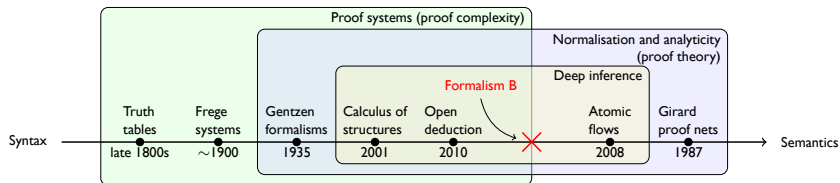


Quasipolynomial Cut Elimination by Threshold Functions

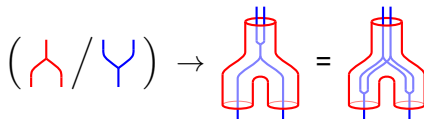


- ▶ Only $n + 1$ copies of the proof are stitched together.
- ▶ Note **local cocontraction** (= better sharing, not available in Gentzen).

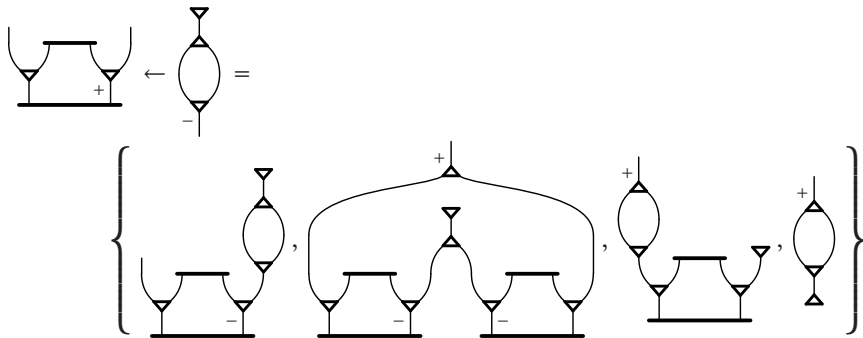
Formalism B: Extending with Substitution



Achieving the power of Frege + extension (possibly optimal proof system) by incorporating **substitution**, guided by the geometry of flows:



Example of Flow Substitution



Note the variety of shapes, all of which are equivalent. This is far more flexible than permutation of rules and similar Gentzen mechanisms.

Lifting Substitution to Proofs

Consider the following two synchronal open deduction derivations:

$$\phi = \frac{\frac{\text{id} \frac{t}{a} \vee \bar{a}}{\text{c}\uparrow \frac{a \wedge a}{a \wedge a}}}{(a \wedge a) \vee \frac{\text{c}\downarrow \frac{\bar{a} \vee \bar{a}}{\bar{a}}}{\text{w}\uparrow \frac{\bar{a}}{t}}}}{\quad} \quad \text{and} \quad \psi = \frac{b \vee \frac{f}{b}}{b} .$$

We want to define a denotation for the formal substitution $\phi | a \leftarrow \psi$. One element in the set of denotations of $\phi | a \leftarrow \psi$ is

$$= \frac{\frac{\text{id} \frac{t}{b \vee f} \vee \bar{b}}{\text{c}\uparrow \frac{\left[\frac{b \vee f}{b} \right] \wedge [b \vee f] \vee (\bar{b} \wedge t) \vee \bar{b} \wedge \bar{b}}}{\left(\frac{b \vee b}{b} \wedge \frac{b \vee f}{b} \right) \vee \text{c}\downarrow \frac{(\bar{b} \wedge t) \vee \left(\bar{b} \wedge \frac{\bar{b}}{t} \right)}{\bar{b} \wedge t}}}{\text{w}\uparrow \frac{\bar{b} \wedge t}{t}}}{\quad} .$$

Conclusion

- ▶ We are interested in proof composition (so in the first and second order propositional proof theory).
- ▶ Composition in Gentzen is rigid (it was designed for consistency proofs, not much else).
- ▶ Deep inference composition is free and yields local proof systems.
- ▶ Locality = linearity + atomicity, so we are doing an extreme form of linear logic.
- ▶ Because of locality we obtain a sort of geometric control over proofs.
- ▶ So we obtain an efficient and natural formalism for proofs, where more proof theory can be done with lower complexity.
- ▶ We are obtaining interesting notions of proof identity.

This talk is available at <http://cs.bath.ac.uk/ag/t/GIDENPS.pdf>

Deep inference web site: <http://alessio.guglielmi.name/res/cos/>



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