

Minimal Retentive Sets in Tournaments

– From Anywhere to TEQ –

Felix Brandt **Markus Brill** Felix Fischer Paul Harrenstein

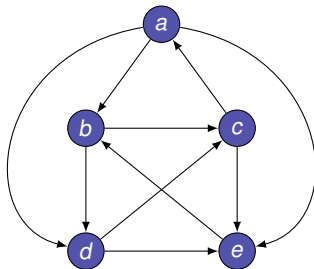
Ludwig-Maximilians-Universität München

Estoril, April 12, 2010

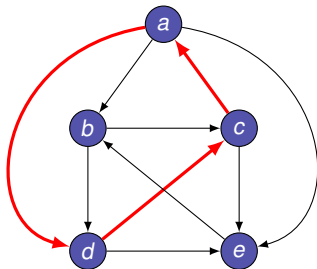


PREFERENCE AGGREGATION IN MULTIAGENT SYSTEMS

- Tournaments are oriented complete graphs
- Many applications: social choice theory, sports tournaments, game theory, argumentation theory, webpage and journal ranking, etc.
- **Question:** How to select the *winner(s)* of a tournament in the absence of transitivity?

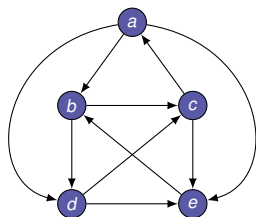


- Tournaments are oriented complete graphs
- Many applications: social choice theory, sports tournaments, game theory, argumentation theory, webpage and journal ranking, etc.
- **Question:** How to select the *winner(s)* of a tournament in the absence of transitivity?

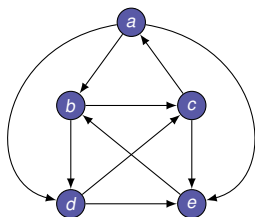


- Tournament solutions
- Retentiveness and Schwartz's *Tournament Equilibrium Set (TEQ)*
- Properties of minimal retentive sets
- 'Approximating' *TEQ*
- A new tournament solution

- A *tournament* $T = (A, >)$ consists of:
 - a finite set A of *alternatives*
 - a complete and asymmetric relation $>$ on A

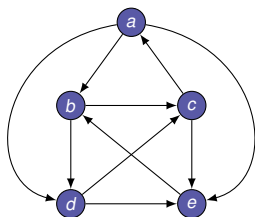


- A *tournament* $T = (A, >)$ consists of:
 - a finite set A of *alternatives*
 - a complete and asymmetric relation $>$ on A



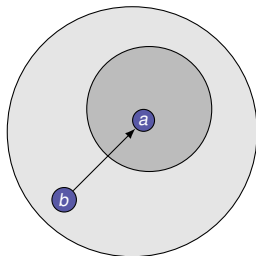
- A *tournament solution* S maps each tournament $T = (A, >)$ to a set $S(T)$ such that $\emptyset \neq S(T) \subseteq A$ and $S(T)$ contains the Condorcet winner if it exists
 - S is called *proper* if a Condorcet winner is always selected as only alternative

- A *tournament* $T = (A, >)$ consists of:
 - a finite set A of *alternatives*
 - a complete and asymmetric relation $>$ on A

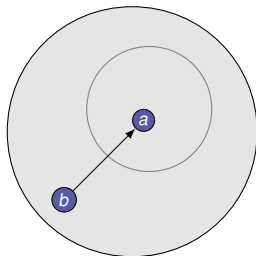


- A *tournament solution* S maps each tournament $T = (A, >)$ to a set $S(T)$ such that $\emptyset \neq S(T) \subseteq A$ and $S(T)$ contains the Condorcet winner if it exists
 - S is called *proper* if a Condorcet winner is always selected as only alternative
- Examples: Trivial Solution (TRIV), Top Cycle (TC), Uncovered Set, Slater Set, Copeland Set, Banks Set, Minimal Covering Set (MC), *Tournament Equilibrium Set (TEQ)*, ...

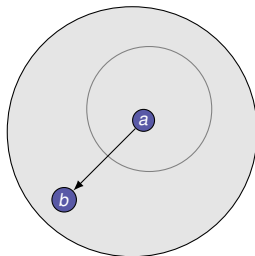
- **Monotonicity (MON)**
- Weak Superset Property (WSP)
- Strong Superset Property (SSP)
- Independence of Unchosen Alternatives (IUA)



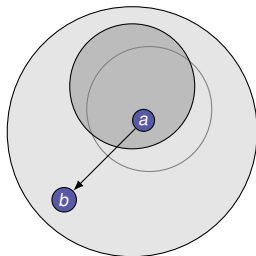
- **Monotonicity (MON)**
- Weak Superset Property (WSP)
- Strong Superset Property (SSP)
- Independence of Unchosen Alternatives (IUA)



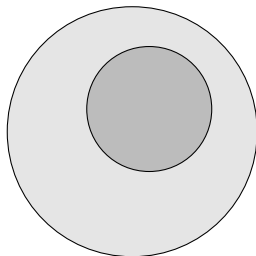
- **Monotonicity (MON)**
- Weak Superset Property (WSP)
- Strong Superset Property (SSP)
- Independence of Unchosen Alternatives (IUA)



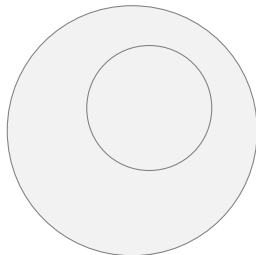
- **Monotonicity (MON)**
- Weak Superset Property (WSP)
- Strong Superset Property (SSP)
- Independence of Unchosen Alternatives (IUA)



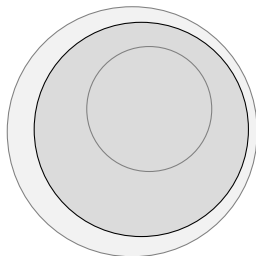
- Monotonicity (MON)
- **Weak Superset Property (WSP)**
- Strong Superset Property (SSP)
- Independence of Unchosen Alternatives (IUA)



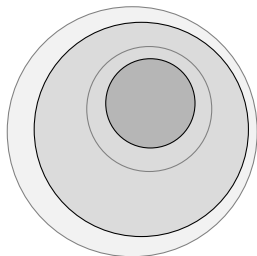
- Monotonicity (MON)
- **Weak Superset Property (WSP)**
- Strong Superset Property (SSP)
- Independence of Unchosen Alternatives (IUA)



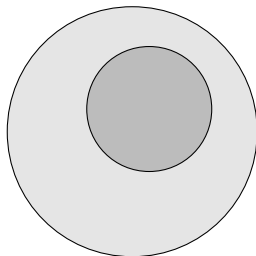
- Monotonicity (MON)
- **Weak Superset Property (WSP)**
- Strong Superset Property (SSP)
- Independence of Unchosen Alternatives (IUA)



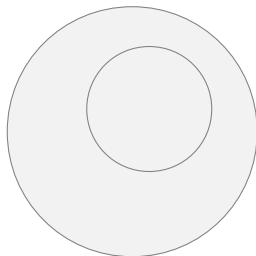
- Monotonicity (MON)
- **Weak Superset Property (WSP)**
- Strong Superset Property (SSP)
- Independence of Unchosen Alternatives (IUA)



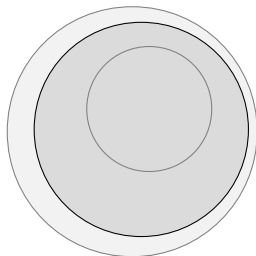
- Monotonicity (MON)
- Weak Superset Property (WSP)
- **Strong Superset Property (SSP)**
- Independence of Unchosen Alternatives (IUA)



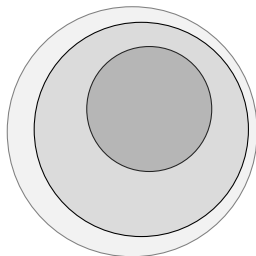
- Monotonicity (MON)
- Weak Superset Property (WSP)
- **Strong Superset Property (SSP)**
- Independence of Unchosen Alternatives (IUA)



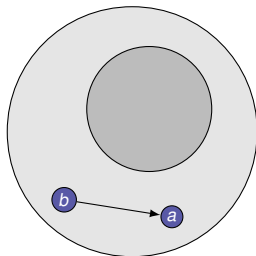
- Monotonicity (MON)
- Weak Superset Property (WSP)
- **Strong Superset Property (SSP)**
- Independence of Unchosen Alternatives (IUA)



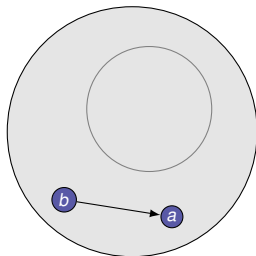
- Monotonicity (MON)
- Weak Superset Property (WSP)
- **Strong Superset Property (SSP)**
- Independence of Unchosen Alternatives (IUA)



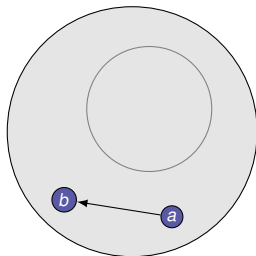
- Monotonicity (MON)
- Weak Superset Property (WSP)
- Strong Superset Property (SSP)
- Independence of Unchosen Alternatives (IUA)



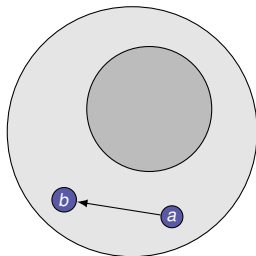
- Monotonicity (MON)
- Weak Superset Property (WSP)
- Strong Superset Property (SSP)
- Independence of Unchosen Alternatives (IUA)



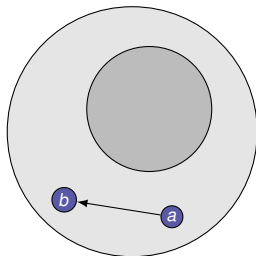
- Monotonicity (MON)
- Weak Superset Property (WSP)
- Strong Superset Property (SSP)
- Independence of Unchosen Alternatives (IUA)



- Monotonicity (MON)
- Weak Superset Property (WSP)
- Strong Superset Property (SSP)
- Independence of Unchosen Alternatives (IUA)



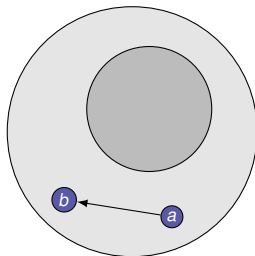
- Monotonicity (MON)
- Weak Superset Property (WSP)
- Strong Superset Property (SSP)
- Independence of Unchosen Alternatives (IUA)



- Monotonicity (MON)
- Weak Superset Property (WSP)
- Strong Superset Property (SSP)
- Independence of Unchosen Alternatives (IUA)

Note:

- SSP is equivalent to $\hat{\alpha}$ (see [Felix's lecture](#))
- $(SSP \wedge MON)$ implies WSP and IUA



Definition: *TRIV* returns the set A for each tournament $T = (A, >)$

Definition: *TRIV* returns the set A for each tournament $T = (A, >)$

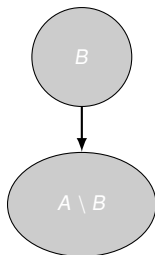
Definition: *TC* returns the smallest *dominating set*, i.e. the smallest set $B \subseteq A$ with $B > A \setminus B$

- Intuition: No winner should be dominated by a loser

Definition: *TRIV* returns the set A for each tournament $T = (A, >)$

Definition: *TC* returns the smallest *dominating set*, i.e. the smallest set $B \subseteq A$ with $B > A \setminus B$

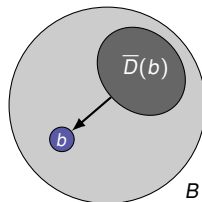
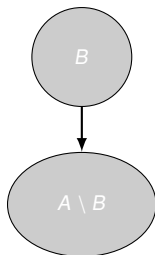
- Intuition: No winner should be dominated by a loser



Definition: *TRIV* returns the set A for each tournament $T = (A, >)$

Definition: *TC* returns the smallest *dominating set*, i.e. the smallest set $B \subseteq A$ with $B > A \setminus B$

- Intuition: No winner should be dominated by a loser
- Define $\bar{D}(b) = \{a \in A : a > b\}$
- *TC* is the smallest set B satisfying $\bar{D}(b) \subseteq B$ for all $b \in B$

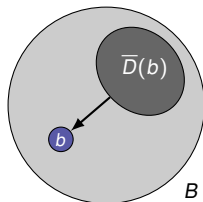
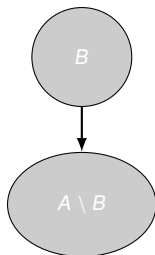


Definition: *TRIV* returns the set A for each tournament $T = (A, >)$

Definition: *TC* returns the smallest *dominating set*, i.e. the smallest set $B \subseteq A$ with $B > A \setminus B$

- Intuition: No winner should be dominated by a loser
- Define $\bar{D}(b) = \{a \in A : a > b\}$
- *TC* is the smallest set B satisfying $\bar{D}(b) \subseteq B$ for all $b \in B$

Both *TRIV* and *TC* satisfy all four basic properties



Intuition:

- An alternative a is only “properly” dominated by a “good” alternatives



Thomas Schwartz

Intuition:

- An alternative a is only “properly” dominated by a “good” alternatives, i.e., *alternatives selected by S from the dominators of a*



Thomas Schwartz

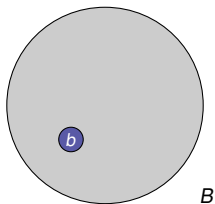
Intuition:

- An alternative a is only “properly” dominated by a “good” alternatives, i.e., *alternatives selected by S from the dominators of a*
- No winner should be “properly” dominated by a loser



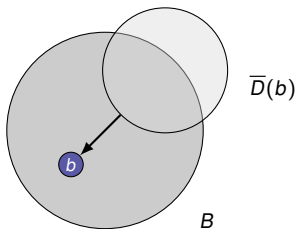
Thomas Schwartz

Definition: B is *S-retentive* if $B \neq \emptyset$ and $S(\overline{D}(b)) \subseteq B$ for all $b \in B$



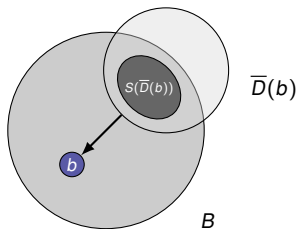
Thomas Schwartz

Definition: B is *S-retentive* if $B \neq \emptyset$ and $S(\overline{D}(b)) \subseteq B$ for all $b \in B$



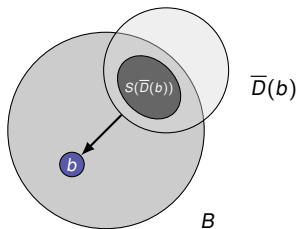
Thomas Schwartz

Definition: B is *S-retentive* if $B \neq \emptyset$ and $S(\overline{D}(b)) \subseteq B$ for all $b \in B$



Thomas Schwartz

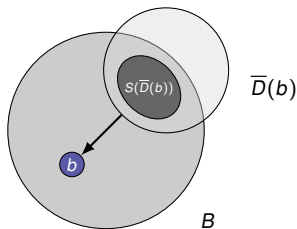
Definition: B is *S-retentive* if $B \neq \emptyset$ and $S(\overline{D}(b)) \subseteq B$ for all $b \in B$



Thomas Schwartz

Definition: \hat{S} returns the union of all minimal S-retentive sets

Definition: B is *S-retentive* if $B \neq \emptyset$ and $S(\overline{D}(b)) \subseteq B$ for all $b \in B$

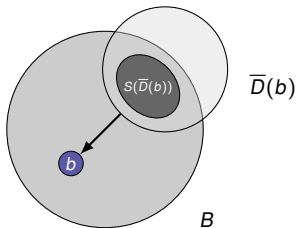


Thomas Schwartz

Definition: \hat{S} returns the union of all minimal *S*-retentive sets

- Call \hat{S} *unique* if there always exists a unique minimal *S*-retentive set

Definition: B is *S-retentive* if $B \neq \emptyset$ and $S(\overline{D}(b)) \subseteq B$ for all $b \in B$



Thomas Schwartz

Definition: \hat{S} returns the union of all minimal S -retentive sets

- Call \hat{S} *unique* if there always exists a unique minimal S -retentive set
- Minimal S -retentive sets exist for each tournament
- \hat{S} is unique if and only if there do not exist two disjoint S -retentive sets

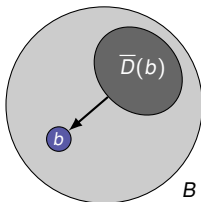
Example



Proposition: $TRIV = TC$

Proposition: $TRIV = TC$

Proof: A set is *TRIV*-retentive if and only if it is dominating



$$TRIV(\bar{D}(b)) = \bar{D}(b)$$

The Tournament Equilibrium Set

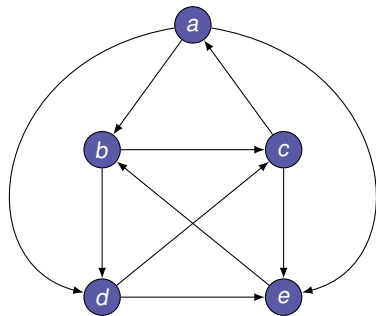


The *tournament equilibrium set (TEQ)* is defined recursively as $TEQ = T\dot{E}Q$

The *tournament equilibrium set (TEQ)* is defined recursively as $TEQ = T\dot{E}Q$

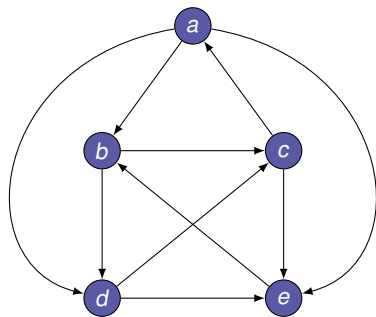
- well-defined because $|\bar{D}(a)| < |A|$ for each $a \in A$

Example



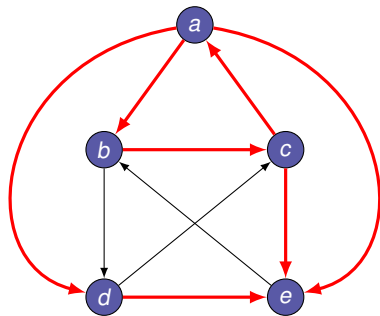
x	$\bar{D}(x)$
a	$\{c\}$
b	$\{a, e\}$
c	$\{b, d\}$
d	$\{a, b\}$
e	$\{a, c, d\}$

Example



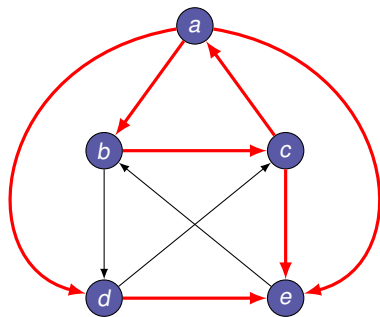
x	$\bar{D}(x)$	$TEQ(\bar{D}(x))$
a	$\{c\}$	$\{c\}$
b	$\{a, e\}$	$\{a\}$
c	$\{b, d\}$	$\{b\}$
d	$\{a, b\}$	$\{a\}$
e	$\{a, c, d\}$	$\{a, c, d\}$

Example



x	$\bar{D}(x)$	$TEQ(\bar{D}(x))$
a	$\{c\}$	$\{c\}$
b	$\{a, e\}$	$\{a\}$
c	$\{b, d\}$	$\{b\}$
d	$\{a, b\}$	$\{a\}$
e	$\{a, c, d\}$	$\{a, c, d\}$

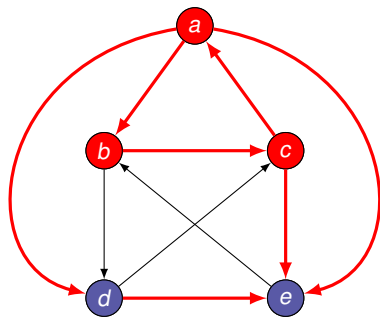
Example



x	$\bar{D}(x)$	$TEQ(\bar{D}(x))$
a	$\{c\}$	$\{c\}$
b	$\{a, e\}$	$\{a\}$
c	$\{b, d\}$	$\{b\}$
d	$\{a, b\}$	$\{a\}$
e	$\{a, c, d\}$	$\{a, c, d\}$

TEQ-retentive sets: $\{a, b, c, d, e\}$, $\{a, b, c, d\}$, $\{a, b, c\}$

Example



x	$\bar{D}(x)$	$TEQ(\bar{D}(x))$
a	$\{c\}$	$\{c\}$
b	$\{a, e\}$	$\{a\}$
c	$\{b, d\}$	$\{b\}$
d	$\{a, b\}$	$\{a\}$
e	$\{a, c, d\}$	$\{a, c, d\}$

TEQ-retentive sets: $\{a, b, c, d, e\}$, $\{a, b, c, d\}$, $\{a, b, c\}$

$$TEQ(T) = \{a, b, c\}$$

The *tournament equilibrium set (TEQ)* is defined recursively as $TEQ = T\dot{E}Q$

- well-defined because $|\bar{D}(a)| < |A|$ for each $a \in A$

The *tournament equilibrium set (TEQ)* is defined recursively as $TEQ = T\bar{E}Q$

- well-defined because $|\bar{D}(a)| < |A|$ for each $a \in A$

Schwartz's Conjecture: TEQ is unique, i.e., each tournament admits a unique minimal TEQ -retentive set.

The *tournament equilibrium set (TEQ)* is defined recursively as $TEQ = T\bar{E}Q$

- well-defined because $|\bar{D}(a)| < |A|$ for each $a \in A$

Schwartz's Conjecture: TEQ is unique, i.e., each tournament admits a unique minimal TEQ -retentive set.

Theorem (Laffond et al., 1993, Houy, 2009): TEQ is unique if and only if TEQ satisfies any of MON, WSP, SSP, and IUA.

Recall: \hat{S} returns the union of all minimal S -retentive sets

Recall: \hat{S} returns the union of all minimal S -retentive sets

Theorem: If \hat{S} satisfies MON, WSP, SSP, or IUA, so does S .

Recall: \hat{S} returns the union of all minimal S -retentive sets

Theorem: If \hat{S} satisfies MON, WSP, SSP, or IUA, so does S .

Theorem: If S satisfies $(\text{MON} \wedge \text{SSP})$, WSP, SSP, or IUA, so does \hat{S}

Recall: \hat{S} returns the union of all minimal S -retentive sets

Theorem: If \hat{S} satisfies MON, WSP, SSP, or IUA, so does S .

Theorem: If S satisfies $(\text{MON} \wedge \text{SSP})$, WSP, SSP, or IUA, so does \hat{S}
if \hat{S} is unique.

Define $S^{(0)} = S$ and $S^{(k+1)} = \mathring{S}^{(k)}$. Thus, we obtain sequences like:

$TRIV, TC, \mathring{TC}, TC^{(2)}, TC^{(3)}, \dots$

$MC, \mathring{MC}, MC^{(2)}, MC^{(3)}, MC^{(4)}, \dots$

Define $S^{(0)} = S$ and $S^{(k+1)} = \mathring{S}^{(k)}$. Thus, we obtain sequences like:

$$\begin{aligned} &TRIV, TC, \mathring{TC}, TC^{(2)}, TC^{(3)}, \dots \\ &MC, \mathring{MC}, MC^{(2)}, MC^{(3)}, MC^{(4)}, \dots \end{aligned}$$

Definition: S *converges* to S' if for each T there is some $k_T \in \mathbb{N}$ such that

$$S^{(k_T)}(T) = S^{(n)}(T) = S'(T) \quad \text{for all } n \geq k_T$$

Define $S^{(0)} = S$ and $S^{(k+1)} = \mathring{S}^{(k)}$. Thus, we obtain sequences like:

$$\begin{aligned} &TRIV, TC, \mathring{TC}, TC^{(2)}, TC^{(3)}, \dots \\ &MC, \mathring{MC}, MC^{(2)}, MC^{(3)}, MC^{(4)}, \dots \end{aligned}$$

Definition: S *converges* to S' if for each T there is some $k_T \in \mathbb{N}$ such that

$$S^{(k_T)}(T) = S^{(n)}(T) = S'(T) \quad \text{for all } n \geq k_T$$

Theorem: Every tournament solution converges to TEQ .

Define $S^{(0)} = S$ and $S^{(k+1)} = \mathring{S}^{(k)}$. Thus, we obtain sequences like:

$$\begin{aligned} &TRIV, TC, \mathring{TC}, TC^{(2)}, TC^{(3)}, \dots \\ &MC, \mathring{MC}, MC^{(2)}, MC^{(3)}, MC^{(4)}, \dots \end{aligned}$$

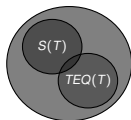
Definition: S *converges* to S' if for each T there is some $k_T \in \mathbb{N}$ such that

$$S^{(k_T)}(T) = S^{(n)}(T) = S'(T) \quad \text{for all } n \geq k_T$$

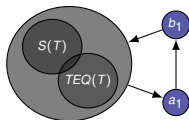
Theorem: Every tournament solution converges to TEQ .

Proof: $S^{(n-1)}(T) = TEQ(T)$ for all tournaments T of order $\leq n$

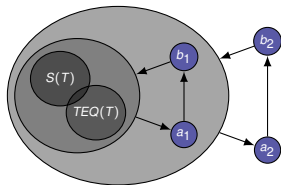
Theorem: If $S \neq TEQ$, then $S^{(k)} \neq TEQ$ for all $k \geq 0$.



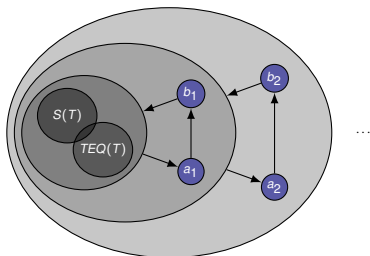
Theorem: If $S \neq TEQ$, then $S^{(k)} \neq TEQ$ for all $k \geq 0$.



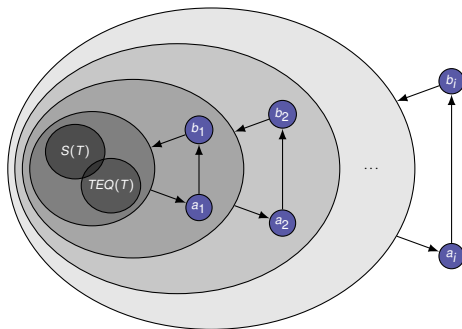
Theorem: If $S \neq TEQ$, then $S^{(k)} \neq TEQ$ for all $k \geq 0$.



Theorem: If $S \neq TEQ$, then $S^{(k)} \neq TEQ$ for all $k \geq 0$.



Theorem: If $S \neq TEQ$, then $S^{(k)} \neq TEQ$ for all $k \geq 0$.



'Approximating' TEQ



Theorem (Brandt et al. 2008): Computing TEQ is NP-hard.

Theorem (Brandt et al. 2008): Computing TEQ is NP-hard.

Theorem: \mathring{S} is *efficiently computable* if and only if S is.

$$S, \mathring{S}, S^{(2)}, S^{(3)}, \dots TEQ$$

Theorem (Brandt et al. 2008): Computing TEQ is NP-hard.

Theorem: \mathring{S} is *efficiently computable* if and only if S is.

$$S, \mathring{S}, S^{(2)}, S^{(3)}, \dots TEQ$$

We would like to have 'nice' convergence...

Theorem (Brandt et al. 2008): Computing TEQ is NP-hard.

Theorem: \hat{S} is *efficiently computable* if and only if S is.

$$S, \hat{S}, S^{(2)}, S^{(3)}, \dots, TEQ$$

We would like to have 'nice' convergence...

Theorem: If $\hat{S} \subseteq S$, $TEQ \subseteq S$ and TEQ is unique, then $TEQ \subseteq S^{(k+1)} \subseteq S^{(k)}$ for all $k \geq 0$.

Theorem (Brandt et al. 2008): Computing TEQ is NP-hard.

Theorem: \mathring{S} is *efficiently computable* if and only if S is.

$$S, \mathring{S}, S^{(2)}, S^{(3)}, \dots, TEQ$$

We would like to have 'nice' convergence...

Theorem: If $\mathring{S} \subseteq S$, $TEQ \subseteq S$ and TEQ is unique, then $TEQ \subseteq S^{(k+1)} \subseteq S^{(k)}$ for all $k \geq 0$.

In particular,

$$TRIV \supseteq TC \supseteq \mathring{TC} \supseteq TC^{(2)} \supseteq \dots \supseteq TEQ.$$

Thus, TEQ can be 'approximated' by an *anytime* algorithm.

Theorem (Brandt et al. 2008): Computing TEQ is NP-hard.

Theorem: \mathring{S} is *efficiently computable* if and only if S is.

$$S, \mathring{S}, S^{(2)}, S^{(3)}, \dots, TEQ$$

We would like to have 'nice' convergence...

Theorem: If $\mathring{S} \subseteq S$, $TEQ \subseteq S$ and TEQ is unique, then $TEQ \subseteq S^{(k+1)} \subseteq S^{(k)}$ for all $k \geq 0$.

In particular,

$$TRIV \supseteq TC \supseteq \mathring{TC} \supseteq TC^{(2)} \supseteq \dots \supseteq TEQ.$$

Thus, TEQ can be 'approximated' by an *anytime* algorithm.

As uniqueness of $TC^{(k)}$ implies uniqueness of $TC^{(k-1)}$, we have an infinite sequence of increasingly difficult *conjectures*.

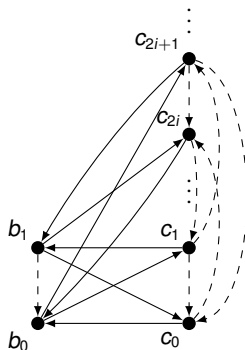
The Minimal Top Cycle Retentive Set



$TRIV, TC, \overset{\circ}{TC}, TC^{(2)}, TC^{(3)}, \dots TEQ$

$TRIV, TC, \overset{\circ}{TC}, TC^{(2)}, TC^{(3)}, \dots TEQ$

Theorem: $\overset{\circ}{TC}$ is unique.

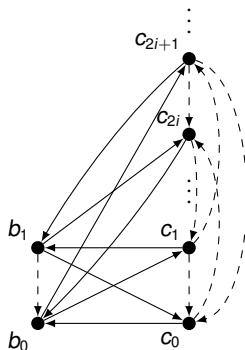


$TRIV, TC, \overset{\circ}{TC}, TC^{(2)}, TC^{(3)}, \dots, TEQ$

Theorem: $\overset{\circ}{TC}$ is unique.

Consequence:

- $\overset{\circ}{TC}$ satisfies MON, SSP, WSP, and IUA
- $\overset{\circ}{TC}$ lies between TC and TEQ
- $\overset{\circ}{TC}$ is efficiently computable



- Retentiveness as an operation on tournament solutions
- Inheritance of basic properties by minimal retentive sets
- Convergence and 'approximating' TEQ
- \mathring{TC} first new concept in sequence with desirable properties
- Future work: Prove (or disprove) uniqueness of $TC^{(2)}$, \mathring{MC} , \dots , TEQ

- Retentiveness as an operation on tournament solutions
- Inheritance of basic properties by minimal retentive sets
- Convergence and 'approximating' TEQ
- \mathring{TC} first new concept in sequence with desirable properties
- Future work: Prove (or disprove) uniqueness of $TC^{(2)}$, \mathring{MC} , \dots , TEQ

Thank you!